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PTO/SB/05 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 39524.0200  
First Inventor LIU, Chin-Te  
Title DRIVING DEVICE FOR SCANNING MODULE  
Express Mail Label No. EL609002005US

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

Assistant Commissioner for Patents  
Box Patent Application  
Washington, D.C. 20231

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original, and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.  
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 12]  
(preferred arrangement set forth below)  
- Descriptive title of the invention  
- Cross Reference to Related Applications  
- Statement Regarding Fed sponsored R & D  
- Reference to sequence listing, a table,  
or a computer program listing appendix  
- Background of the Invention  
- Brief Summary of the Invention  
- Brief Description of the Drawings (if filed)  
- Detailed Description  
- Claim(s)  
- Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 5]
5. Oath or Declaration [Total Pages 3]  
a. ☒ Newly executed (original or copy)  
b. ☐ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 18 completed)  
i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s)  
named in the prior application, see 37 CFR  
1.63(d)(2) and 1.33(b).
6. ☒ Application Data Sheet. See 37 CFR 1.76
7. ☐ CD-ROM or CD-R in duplicate, large table or Computer  
Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)  
a. ☐ Computer Readable Form (CRF)  
b. Specification Sequence Listing on:  
i. ☐ CD-ROM or CD-R (2 copies); or  
ii. ☐ paper  
c. ☐ Statements verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

9. ☒ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☒ Power of Attorney
11. ☒ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☐ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15. ☒ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☐ Request and Certification under 35 U.S.C. 122  
(b)(2)(B)(i). Applicant must attach form PTO/SB/35  
or its equivalent.
17. ☒ Other: Power of Attorney and Designation of Domestic  
Representative (by Assignee)

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: \_\_\_\_\_ / \_\_\_\_\_

Prior application information: Examiner \_\_\_\_\_

Group / Art Unit \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label

020322

or ☐ Correspondence address below

(Insert Customer No. or Attach bar code label here)

|         |                       |           |              |          |              |
|---------|-----------------------|-----------|--------------|----------|--------------|
| Name    | Michael K. Kelly      |           |              |          |              |
|         | SNELL & WILMER L.L.P. |           |              |          |              |
| Address | One Arizona Center    |           |              |          |              |
|         | 400 East Van Buren    |           |              |          |              |
| City    | Phoenix               | State     | AZ           | Zip Code | 85004-2202   |
| Country | US                    | Telephone | 602-382-6291 | Fax      | 602-382-6070 |

Name (Print/Type) Michael K. Kelly

Registration No. (Attorney/Agent)

32,848

Signature

*Michael K. Kelly*

Date

June 12, 2001

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**FEE TRANSMITTAL  
for FY 2001**

Patent fees are subject to annual revision.

**Complete if Known**

|                      |               |
|----------------------|---------------|
| Application Number   |               |
| Filing Date          | June 12, 2001 |
| First Named Inventor | LIU, Chin-Te  |
| Examiner Name        |               |
| Group Art Unit       |               |
| Attorney Docket No.  | 39524.0200    |

**TOTAL AMOUNT OF PAYMENT****\$750.00****METHOD OF PAYMENT**

- 1.
- ☒
- The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit  
Account  
Number

19-2814

Deposit  
Account  
Name

Snell &amp; Wilmer L.L.P.

- ☒
- Charge Any Additional Fee Required
- 
- Under 37 CFR §§ 1.16 and 1.17

☐ Applicant claims small entity status.  
See 37 CFR § 1.27

- 2.
- ☐
- Payment Enclosed:

☐ Check ☐ Credit card ☐ Money  
Order ☐ Other**FEE CALCULATION****1. BASIC FILING FEE**

| Large Entity<br>Fee Code | Small Entity<br>Fee Code | Fee (\$) | Fee (\$) | Fee Description        | Fee Paid |
|--------------------------|--------------------------|----------|----------|------------------------|----------|
| 101                      | 710                      | 201      | 355      | Utility filing fee     | 710.00   |
| 106                      | 320                      | 206      | 160      | Design filing fee      |          |
| 107                      | 490                      | 207      | 245      | Plant filing fee       |          |
| 108                      | 710                      | 208      | 355      | Reissue filing fee     |          |
| 114                      | 150                      | 214      | 75       | Provisional filing fee |          |
| SUBTOTAL (1)             |                          |          |          |                        | \$710.00 |

**2. EXTRA CLAIM FEES**

| Total Claims       | Extra Claims | Fee from below | Fee Paid |      |
|--------------------|--------------|----------------|----------|------|
| 10                 | -20** = 0    | X              | 0.00     |      |
| Independent Claims | 3            | -3** = 0       | X        | 0.00 |
| Multiple Dependent |              |                |          |      |

| Large Entity<br>Fee Code | Small Entity<br>Fee Code | Fee (\$) | Fee (\$) | Fee Description  | Fee Paid |
|--------------------------|--------------------------|----------|----------|--|----------|
| 103                      | 18                       | 203      | 9        | Claims in excess of 20                                     |          |
| 102                      | 80                       | 202      | 40       | Independent claims in excess of 3                          |          |
| 104                      | 270                      | 204      | 135      | Multiple dependent claim, if not paid                      |          |
| 109                      | 80                       | 209      | 40       | ** Reissue independent claims over original patent         |          |
| 110                      | 18                       | 210      | 9        | ** Reissue claims in excess of 20 and over original patent |          |
| SUBTOTAL (2)             |                          |          |          |  | \$0.00   |

\*\*or number previously paid, if greater; For Reissues, see above

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

| Large Entity<br>Fee Code | Small Entity<br>Fee Code | Fee (\$) | Fee (\$) | Fee Description  | Fee Paid |
|--------------------------|--------------------------|----------|----------|--|----------|
| 105                      | 130                      | 205      | 65       | Surcharge - late filing fee or oath  |          |
| 127                      | 50                       | 227      | 25       | Surcharge - late provisional filing fee or cover sheet                     |          |
| 139                      | 130                      | 139      | 130      | Non - English specification  |          |
| 147                      | 2,520                    | 147      | 2,520    | For filing a request for <i>ex parte</i> reexamination                     |          |
| 112                      | 920*                     | 112      | 920*     | Requesting publication of SIR prior to Examiner action                     |          |
| 113                      | 1,840*                   | 113      | 1,840*   | Requesting publication of SIR after Examiner action                        |          |
| 115                      | 110                      | 215      | 55       | Extension for reply within first month                                     |          |
| 116                      | 390                      | 216      | 195      | Extension for reply within second month                                    |          |
| 117                      | 890                      | 217      | 445      | Extension for reply within third month                                     |          |
| 118                      | 1,390                    | 218      | 695      | Extension for reply within fourth month                                    |          |
| 128                      | 1,890                    | 228      | 945      | Extension for reply within fifth month                                     |          |
| 119                      | 310                      | 219      | 155      | Notice of Appeal   |          |
| 120                      | 310                      | 220      | 155      | Filing a brief in support of an appeal                                     |          |
| 121                      | 270                      | 221      | 135      | Request for oral hearing   |          |
| 138                      | 1,510                    | 138      | 1,510    | Petition to institute a public use proceeding                              |          |
| 140                      | 110                      | 240      | 55       | Petition to revive - unavoidable   |          |
| 141                      | 1,240                    | 241      | 620      | Petition to revive - unintentional   |          |
| 142                      | 1,240                    | 242      | 620      | Utility issue fee (or reissue)   |          |
| 143                      | 440                      | 243      | 220      | Design issue fee   |          |
| 144                      | 600                      | 244      | 300      | Plant issue fee  |          |
| 122                      | 130                      | 122      | 130      | Petitions to the Commissioner  |          |
| 123                      | 50                       | 123      | 50       | Processing fee under 37 CFR § 1.17(q)                                      |          |
| 126                      | 180                      | 126      | 180      | Submission of Information Disclosure Statement                             |          |
| 581                      | 40                       | 581      | 40       | Recording each patent assignment per property (times number of properties) | 40.00    |
| 146                      | 710                      | 246      | 355      | Filing a submission after final rejection (37 CFR § 1.129(a))              |          |
| 149                      | 710                      | 249      | 355      | For each additional invention to be examined (37 CFR § 1.129(b))           |          |
| 179                      | 710                      | 279      | 355      | Request for Continued Examination (RCE)                                    |          |
| 169                      | 900                      | 169      | 900      | Request for expedited examination of a design application                  |          |
| Other fee (specify)      |                          |          |          |  |          |

\*Reduced by Basic Filing Fee Paid

**SUBTOTAL (3)****\$40.00****SUBMITTED BY**

Name (Print/Type)

Michael K. Kelly

Registration No.  
(Attorney/Agent)

32,848

**Complete (if applicable)**

Telephone

602-382-6291

Signature

Michael K. Kelly

Date

June 12, 2001

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